

Southern Association of Colleges and Schools Commission on Colleges 1866 Southern Lane Decatur, Georgia 30033-4097

INTEGRITY AND INSTITUTIONAL OBLIGATIONS TO SACSCOC

Policy Statement

Institutional integrity serves as the foundation of the relationship between the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) and its member and candidate institutions. This fundamental philosophy is reflected in the *Principles of Accreditation*.

Integrity, essential to the purpose of higher education, functions as the basic contract defining the relationship between SACSCOC and each of its member and candidate institutions. It is a relationship in which all parties agree to deal honestly and openly with their constituencies and with one another. Without this commitment, no relationship can exist or be sustained between SACSCOC and its member and candidate institutions.

Integrity in the accreditation process is best understood in the context of peer review, professional judgment by peers of commonly accepted sound academic practice, and the conscientious application of the Principles of Accreditation as mutually agreed upon standards for accreditation. SACSCOC's requirements, policies, processes, procedures, and decisions are predicated on integrity.

SACSCOC expects integrity to govern the operation of institutions and for institutions to make reasonable and responsible decisions consistent with the spirit of integrity in all matters. Therefore, evidence of withholding information, providing inaccurate information to the public, failing to provide timely and accurate information to SACSCOC, or failing to conduct a candid self-assessment of compliance with the Principles of Accreditation and to submit this assessment to SACSCOC, and other similar practices will be seen as the lack of a full commitment to integrity. SACSCOC's policy statement <u>Integrity and Institutional Obligations to SACSCOC</u> gives examples of the application of the principle of integrity in accreditation activities. The policy is not all-encompassing nor does it address all possible situations. Failure of an institution to adhere to the integrity principle may result in a loss of accreditation or candidacy.

The *Principles* includes the following requirement:

CR 1.1 The institution operates with integrity in all matters.

As a condition of candidacy or membership in SACSCOC, the institution agrees to document its compliance with the requirements of the *Principles of Accreditation*; to comply with SACSCOC requests, directives, decisions, and policies; and to make complete, accurate and honest disclosure to SACSCOC.

SACSCOC's policy Sanctions, Denial of Reaffirmation, and Removal from Membership states that SACSCOC requires a member institution to comply with the Principle of Integrity (CR 1.1), Core Requirements, Standards, and SACSCOC's policies and procedures, and to provide information as requested by SACSCOC in order to maintain membership and accreditation.

In order to comply with these requirements for integrity and accuracy in reporting in its relationships with SACSCOC, the president of the institution is obligated to review and ensure the accuracy and integrity of materials submitted by the institution, such as the Compliance Certification and Quality Enhancement Plan. In addition, an institution shall meet the following expectations:

- 1. Ensure that all documents submitted to SACSCOC are candid and provide all pertinent information, whether complimentary or otherwise. With due regard for the rights of individual privacy, every institution applying for candidacy, extension of candidacy, accreditation, or reaffirmation of accreditation, as well as every candidate and accredited institution, provide SACSCOC with access to all parts of its operations, and with complete and accurate information about the institution's affairs, including reports of other accrediting, licensing, and auditing agencies.
- 2. Respond in a timely manner to requests by SACSCOC for submission of dues, fees, reports, or other information.
- 3. Ensure that information submitted to SACSCOC (such as that provided in the annual institutional profile, institutional responses to visiting committee reports, and monitoring reports) is complete, accurate, and current. The institution is responsible for providing an accurate representation of the institution as affirmed by the institution's chief executive officer. An institution is obligated to notify the SACSCOC President of any bankruptcy filing.
- 4. Cooperate with SACSCOC in preparation for visits, receive visiting committees in a spirit of collegiality, and comply with SACSCOC's requests for acceptable reports and self-analyses.
- 5. Report substantive changes, including the initiation of new programs or sites outside the region, or new sites within the region in accordance with SACSCOC's Substantive Change Policy and Procedures.
- 6. Provide counsel and advice to SACSCOC, and agree to have its faculty and administrators serve, within reason, on visiting teams and on SACSCOC committees.
- 7. Provide SACSCOC or its representatives with information requested and maintain an openness and cooperation during evaluations, enabling evaluators to perform their duties with maximum efficiency and effectiveness.

SACSCOC accredits institutions, not individuals. Therefore, any individual who reports to SACSCOC on behalf of an institution – either by virtue of his or her office or as delegated by the chief executive officer of the institution – obligates the institution in all matters regarding institutional integrity.

Document History

Approved: Commission on Colleges, June 1993 Revised in accord with the Principles of Accreditation: February 2004

Revised in accord with the Principles: December 2006, December 2012

Edited March 2014

Reformatted: August 2014

Revised: SACSCOC Board of Trustees, June 2017 Edited for the 2018 Edition of the Principles of Accreditation: August 2018

Revised: SACSCOC Board of Trustees, June 2021

Revised: SACSCOC Board of Trustees, December 2024